

## Section 3 - Plan Approval, Adoption and Assurances

This section of the plan addresses requirements of Interim Final Rule (IFR) Section 201.4 (c) (6) and (7). A copy of the IFR is provided for reference in **Appendix B** of this document.

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<b>Section</b>	<b>What has been updated?</b>
3.1	<ul style="list-style-type: none"><li>• No change was made to this section.</li></ul>
3.2	<ul style="list-style-type: none"><li>• This section was revised to describe the approval and adoption process for the plan update.</li></ul>
3.3	<ul style="list-style-type: none"><li>• Formal adoption documents will be provided after FEMA's review and conditional approval of the plan.</li></ul>
3.4	<ul style="list-style-type: none"><li>• The required assurances will be included as part of the formal adoption documents.</li></ul>

### **3.1 Interim Final Rule Requirements for the Plan Adoption Process**

The Interim Final Rule (201.4 (c) (6)) requires the State Hazard Mitigation Plan to include the following elements

- i. “*A Plan Adoption Process.* The plan must be formally adopted by the State prior to submittal to FEMA for final review and approval. “
- ii. “*Assurances.* The plan must include assurances that the State will comply with all applicable Federal statutes and regulations in effect with respect to the periods for which it receives grant funding, in compliance with 44 CFR 13.11(c). The State will amend it plan whenever necessary to reflect changes in State or Federal laws and statutes as required in 44 CFR 13.11(d).”

### **3.2 Plan Approval and Adoption Process**

#### **3.2.1 Background**

Executive Order No. 19 (**Appendix C**) delegates plan development and approval authority to the Director of the Alabama Emergency Management Agency (AEMA). As discussed in earlier sections, the Order also designates specific agencies and organizations Statewide to participate as members of a State Hazard Mitigation Council (also called the Team, or SHMT throughout this document).

The SHMT approved this plan in October 2004 and has been involved with the Plan Update process. Meeting minutes document the presentation materials and discussions. Minutes are provided in **Appendix L**.

Currently, the SHMT is in the process of reviewing each plan section update and will provide comments and feedback as appropriate for incorporation into the plan. After comments are incorporated, the Team will be provided with a detailed briefing on all proposed changes and additions to the plan. Each member of the SHMT will have a second opportunity to review and approve the document prior to submission to FEMA.

#### **3.2.2 AEMA Review and Approval**

After the all comments are compiled and incorporated, the Director of AEMA will review the document for approval and formal adoption on behalf of the Governor as was the case in 2004.

### **3.3 Formal Adoption Document(s)**

By agreement between FEMA Region IV and AEMA, the official adoption documents will be provided after FEMA’s final review and conditional approval of the Plan.

### **3.4 Assurances**

The assurances required by IFR Section 201.4 (c) (7) will be included in **Appendix F** of this plan, the AEMA letter of approval.

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